

IUE Conflict of Interest Disclosure Form

Indiana University East Statement of Compliance with State Law on Conflicts of Interest and Federal Law on Financial Conflicts of Interest in Research

Before filling out this form please review the definitions of terms used in this form in the Conflicts of Interest Policy. Number 6 of section VIII-2 of the IUE Academic Handbook.

Investigator:
 Department:
 Campus Address:
 Campus Telephone:

Financial Conflicts of Interest

Do you or any of your Family Members have a Financial Interest:

(1) that would reasonably appear to be affected by Sponsored Programs in which you as an Investigator are engaged and/or which are funded or proposed for funding by a Federal Source or

(2) in External Entities whose Financial Interests would reasonably appear to be affected by your research and/or educational activity?

YES: _____ NO: _____

Name of External Entity:

For Profit: YES _____ NO _____

You or family member's income per year from the external entity:

_____ \$250-\$4,999
 _____ \$5,000-\$10,000
 _____ >\$10,000

You or family member's equity or ownership interest as of this date in the external entity:

_____ \$250-\$4,999
 _____ \$5,000-\$10,000
 _____ >\$10,000

Publicly Traded: YES _____ NO _____

You or family member's % of stock, partnership share, or other ownership interest issued and outstanding in the external entity:

_____ 0%
 _____ >0-4.9%
 _____ 5-50%
 _____ >50%

Activities or products of the External Entity which relate to your activities:

You or your family's Financial Interest in the External Entity

Check each applicable box and describe below:

- ? Consulting income
- ? Stock, stock option, partnership share or other ownership interest acquired by purchase
- ? Stock, stock option, partnership share, or other ownership interest acquired by means other than purchase
- ? Salary for executive position
- ? Salary for other employee position
- ? Compensation for service on Boards of Directors/Advisors
- ? Honoraria for commissioned papers and occasional lectures
- ? Royalties for inventions through institutional royalty sharing policies
- ? Royalties for inventions not through institutional royalty sharing, or for publications promoting this External Entity
- ? Allowance, forbearance, or forgiveness of debt
- ? Interest in real or personal property
- ? Dividends
- ? Intellectual property right (e.g. patents, copyrights) and royalties from such rights
- ? Other gift or gratuity

Describe the above activities here:

Your activities at IU/IUE that are funded or proposed for funding from a federal source that might relate to the activities of the External Entity.

Check each applicable box and describe below:

- ? Research
- ? Federally supported educational activities
- ? Assign or supervise the research work of students, residents, fellows, or other faculty
- ? Make or influence administrative or supervisory decisions regarding purchasing by or contracting on behalf of, IU/IUE
- ? Service on body with jurisdiction to review activities of the External Entity (e.g., committees of NIH, FDA, or other governmental agencies; private professional or regulatory body or private insurer)
- ? Other activities
- ? None of above

Describe the above activities here:

Time Conflicts

Full-time faculty members shall not devote more than an average of one day per week to outside work. They may engage in outside commitments only provided the nature of the activity is compatible with the broad objectives of the University and will enhance his/her effectiveness as a member of the faculty. Should a potential conflict between faculty commitment and outside duties exist either paid, volunteer, or elected, the faculty member should first consult with the Division Chairperson before engaging in said outside duties. (For further clarification of the procedures to be followed see Section VIII-2, 5b, of the IUE Academic Handbook)

Describe how your outside activities mesh with your responsibilities at IUE and do or do not result in a time conflict:

Signature Section

Before you sign answer the following question:

Do you conduct research and/or supervise students or faculty in the conduct of research not otherwise disclosed in this form that could affect the value of any Technology which you have developed?

YES _____ NO _____

If yes, please describe below:

I have answered fully and to the best of my ability and will update promptly if my circumstances change.

Signature: _____

Date: _____